



PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/783,779  
Filing Date February 20, 2004  
First Named Inventor Yu Gong  
Art Unit 2166  
Examiner Name Harper, Leon Jonathan  
Attorney Docket Number 50277-2334

Sheet 1

of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/LH/ ↓		US-5,278,978	01-11-1994	Demers et al.	
		US-5,864,853	01-26-1999	Kimura et al.	
		US-6,098,076	08-01-2000	Rekieta et al.	
		US-6,108,748	08-22-2000	Ofek et al.	
		US-6,249,786 B1	06-19-2001	Wadewitz	
		US-6,442,663 B1	08-27-2002	Sun et al.	
		US-6,453,038 B1	09-17-2002	McFarlane et al.	
		US-2002/0156796 A1	10-24-2002	Hisamatsu et al.	
		US-2003/0140055 A1	07-24-2003	Doney et al.	
		US-2004/0073567 A1	04-15-2004	Pelon	
		US-2004/0088297 A1	05-06-2004	Coates et al.	
		US-2004/0091114 A1	05-13-2004	Carter et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

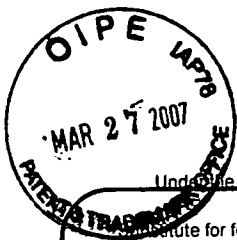
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature	/Leon Harper/	Date Considered	04/27/2008
-----------------------	---------------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/783,779
Filing Date	February 20, 2004
First Named Inventor	Yu Gong
Art Unit	2166
Examiner Name	Harper, Leon Jonathan
Attorney Docket Number	50277-2334

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/LH/		Spalding Office Systems, "DocuWare DOCUMENT SERVER Specifications," 02 September 2003, <a href="http://web.archive.org/web/20030902215629/http://spaldingoffice.com/includes/static-documents/site/docuData/docserverspec.html">http://web.archive.org/web/20030902215629/http://spaldingoffice.com/includes/static-documents/site/docuData/docserverspec.html</a> , retrieved on 06 February 2007, 2 pages.	
/LH/		NAUDE, FRANK, "Oracle FAQ: Glossary of Terms," 28 February 2001, <a href="http://web.archive.org/web/20010228061218/http://www.orafaq.com/glossary/faqlosr.htm">http://web.archive.org/web/20010228061218/http://www.orafaq.com/glossary/faqlosr.htm</a> , retrieved on 6 February 2007, 3 pages.	
/LH/		MICROSOFT CORPORATION, "Migrating your Access Database to Microsoft SQL Server 7.0, February 1999, <a href="http://msdn2.microsoft.com/en-us/library/aa226083(sql.70).aspx">http://msdn2.microsoft.com/en-us/library/aa226083(sql.70).aspx</a> , retrieved on 6 February 2007, 8 pages.	
/LH/		SOFTWARE RESOURCE INTERNATIONAL, "Application Migration Services," 5 August 2002, <a href="http://www.softresint.com/pub/SPD/01-04-012.pdf">http://www.softresint.com/pub/SPD/01-04-012.pdf</a> , 2 pages.	
/LH/		SWIERK, EDWARD et al., "The Roma Personal Metadata Service," October 2001, <a href="http://hpl.hp.com/personal/Mary_Baker/publications/ROMA-WMCSA2000.pdf">http://hpl.hp.com/personal/Mary_Baker/publications/ROMA-WMCSA2000.pdf</a> , pp 1-10.	

Examiner Signature	/Leon Harper/	Date Considered	04/27/2008
-----------------------	---------------	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.